

# Valence electronic charge density of distorted $C_{60}^-$ monomers in polymerized $KC_{60}$ and $RbC_{60}$

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We investigate the valence electronic charge density of the  $C_{60}^-$  monomers in  $(C_{60}^-)_n$  polymer chains in K- and  $RbC_{60}$  by means of a nonorthogonal tight-binding formalism using experimental data on the positions of the carbon atoms. Various configurations of the  $C_{60}$  cages are considered. Starting from the ideal icosahedral  $C_{60}$  structure and moving to the realistic, experimentally determined spatial configuration of the  $C_{60}$  cages in K- and  $RbC_{60}$ , we observe a systematic increase of the electric quadrupole moments on the  $C_{60}^-$  monomers. We also confirm the validity of factorizing the charge density of a  $C_{60}^-$  monomer into an angular and a radial part. © 2004 American Institute of Physics. [DOI: 10.1063/1.1755676]

## I. INTRODUCTION

The alkali metal doped  $C_{60}$  fullerenes,  $A_xC_{60}$ ,  $A = K, Rb, Cs$ , display a rich variety of interesting physical and chemical properties. For an overview, see Refs. 1–3. In particular, the  $x=1$  compounds<sup>4</sup> have been found to form stable crystalline phases with cubic rocksalt structure (space group  $Fm\bar{3}m$ ) at high temperature ( $T \geq 350$  K) and polymeric phases<sup>5–9</sup> at lower temperatures.

The formation of polymers below 350 K explains the unusually short interfullerene distance—about 9% shorter than the usual 10 Å observed in molecular crystals containing  $C_{60}$  at ambient pressure—along the former cubic [110] direction. The linkage between neighboring  $C_{60}$  molecules is realized via covalent bonding between carbon atoms, the covalent bonds being formed through [2+2] cycloaddition reactions (Fig. 1), a scenario originally proposed to explain the creation of polymer chains in  $C_{60}$  fullerite (solid  $C_{60}$ ) upon irradiating with photons—photopolymerization.<sup>10</sup> By now, an interfullerene distance of  $d \approx 9.14$  Å has become a signature of covalent bonding, and various 1D and 2D fullerene polymers have been observed in  $C_{60}$  fullerite under high temperature–high pressure conditions.<sup>11–17</sup> The fullerene polymer chains in the  $AC_{60}$  alkali metal fullerenes however are unique, not only because their reversible formation is thermally driven, but also because they accommodate one extra electron per  $C_{60}$  monomer since there is charge transfer of one electron from an alkali metal atom to a  $C_{60}$  molecule. Therefore, a  $C_{60}$  polymer chain in  $AC_{60}$  can be considered as a 1D chain with a valence electronic density having total

charge  $-e$  on every lattice site, but with a much more complex electronic structure than that of a simple atomic linear chain.

The goal of this paper is to study the valence electronic density of a  $(C_{60}^-)_n$  polymer chain, taking into account the precise structure of the chain, i.e., using the experimentally measured positions of its constituting carbon atoms. The determination of the coordinates of the carbon atoms, together with the investigation of the  $AC_{60}$  crystallographic structure, has been carried out with increasing refinement over the years. From x-ray powder diffraction data, Stephens *et al.*<sup>7</sup> concluded that the polymer phase of both  $KC_{60}$  and  $RbC_{60}$  is orthorhombic with space group  $Pmnn$  [Fig. 2(a)]. The direction of polymerization is the orthorhombic **b** axis (the former cubic [110] direction); the orientation of a polymer chain can be described by the angle  $\psi$  of the cycloaddition plane {the plane containing the carbon atoms  $C_1$  participating in the [2+2] cycloaddition reactions [see Fig. 1(a)]} with the orthorhombic **c** axis (the former cubic [001] direction). In the  $Pmnn$  structure, polymers have alternating orientations  $\pm \psi$  in successive (**a**,**b**) planes. Since a chain of covalently bonded undistorted  $C_{60}$  molecules would imply a too long interfullerene  $C_1-C_1$  bond length of 2.23 Å, the positions of the  $C_1$ ,  $C_2$ , and  $C_3$  atoms [see Fig. 1(a)] were relaxed,<sup>7</sup> yielding more reasonable interfullerene  $C_1-C_1$  distances of 1.44 Å ( $RbC_{60}$ ) and 1.65 Å ( $KC_{60}$ ). The  $C_1-C_1$  interfullerene distance for  $RbC_{60}$  is comparable to the interatomic distance in an undistorted  $C_{60}$  molecule ( $\approx 1.40$  Å), but implies a serious distortion of the  $C_{60}$  cage, with intermolecular C–C bond lengths ranging from 1.32 Å up to 1.9 Å. For  $RbC_{60}$ , a structure with a less distorted  $C_{60}$  cage but a larger interfullerene  $C_1-C_1$  bond length (1.57 Å) was inferred from powder neutron diffraction measurements by Fox *et al.*<sup>18</sup> A

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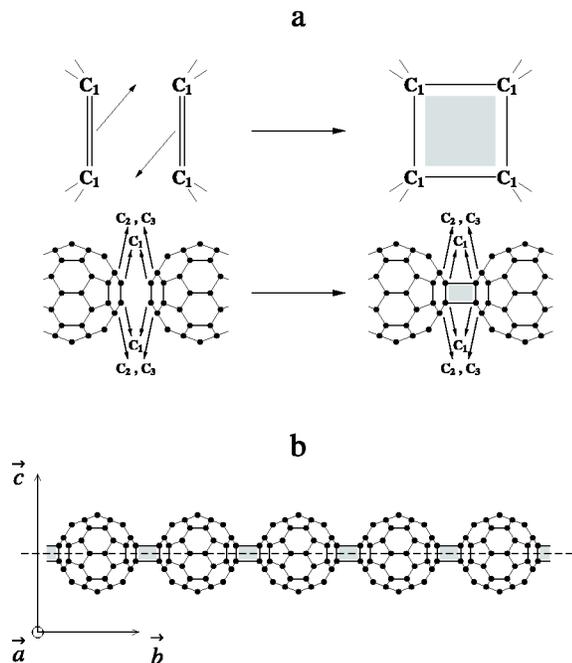


FIG. 1. (a) Below 350 K, polymer chains are formed in K-, Rb-, and CsC<sub>60</sub> via [2+2] cycloaddition reactions: two opposing double bonds “break” to form a cyclobutane fragment. The carbon atoms participating in the cycloaddition reaction, labeled C<sub>1</sub>, lie in the (b,c) plane, while C<sub>2</sub> and C<sub>3</sub> lie above and below the (b,c) plane, respectively. (b) All cyclobutane fragments (shaded) of a polymer chain lie in a same plane, the cycloaddition plane, which characterizes the orientation of the chain in the crystal lattice. The polymer chain, which can rotate about its long axis (dashed), is said to be in the standard orientation when its cycloaddition plane is parallel to the crystallographic (b,c) plane.

powder neutron scattering study on KC<sub>60</sub> performed by Guerrero *et al.*<sup>19</sup> also lead to a revised structure, with an interfullerene C<sub>1</sub>–C<sub>1</sub> distance of 1.54 Å and again a less distorted C<sub>60</sub> cage. Meanwhile, the crystal structure of both K- and RbC<sub>60</sub> was reexamined by Launois *et al.*<sup>8</sup> by means of single crystal x-ray diffraction. While the orthorhombic

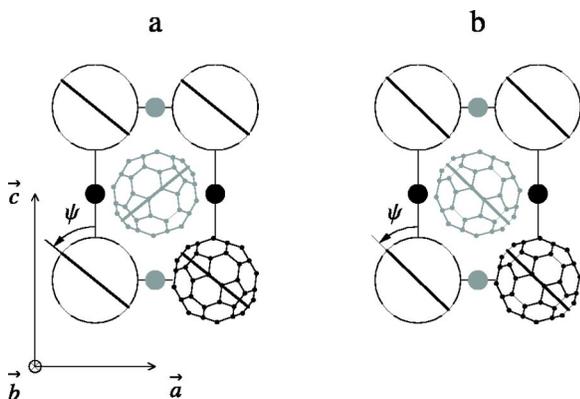


FIG. 2. Projections of the crystal structures of polymerized KC<sub>60</sub> (a) and Rb-, CsC<sub>60</sub> (b) onto the (a,c) crystallographic plane. The cycloaddition planes, perpendicular to the (a,c) plane, are marked by thick bars. The bigger dots represent the alkali metal atoms. The C<sub>60</sub> monomers and the alkali metal atoms shown in black display a shift of  $b/2$  along the **b** direction with respect to those shown in gray. In KC<sub>60</sub> (space group  $Pmnn$ ), the orientations of the polymer chains alternate along the **c** axis, while in Rb- and CsC<sub>60</sub> (space group  $I2/m$ ), all chains have the same orientation.

space group  $Pmnn$  was confirmed for KC<sub>60</sub>, RbC<sub>60</sub> was found to have a monoclinic Bravais lattice with space group  $I2/m$ , the monoclinic angle being very close to 90°, however. In the space group  $I2/m$ , all polymer chains have the same orientation [Fig. 2(b)]. It has been shown by Rouzière *et al.*<sup>9</sup> that CsC<sub>60</sub> also has the  $I2/m$  structure. The findings of Launois *et al.*<sup>8</sup> were confirmed by the detailed neutron powder diffraction study of Huq *et al.*,<sup>20</sup> who not only verified the space groups but also presented the atomic coordinates of the C<sub>60</sub> cage for both K- and RbC<sub>60</sub>. The interfullerene C<sub>1</sub>–C<sub>1</sub> bond lengths were reported to be 1.6 Å and 1.55 Å for K- and RbC<sub>60</sub>, respectively.

Now that both the crystal structure and the coordinates of the carbon atoms of K- and RbC<sub>60</sub> are well established, it is possible to examine the electronic density distribution of the (C<sub>60</sub>)<sub>n</sub> polymer chains in more detail and estimate their electric quadrupole components. It was shown that the electric quadrupole on a C<sub>60</sub> monomer plays a crucial role in explaining the different crystallographic space groups of the AC<sub>60</sub> compounds.<sup>21,22</sup> In particular, it was demonstrated that the alkali metal mediated interaction between neighboring C<sub>60</sub> quadrupoles is the decisive mechanism yielding the  $Pmnn$  structure for KC<sub>60</sub> and the  $I2/m$  structure for Rb- and CsC<sub>60</sub>. However, the two aforementioned theoretical works addressing the problem of the crystal structures of the AC<sub>60</sub> polymer phases employ a simple dumbbell-like model of point charges to describe the electric quadrupole on a C<sub>60</sub> monomer. A more realistic charge distribution as the result of a simplified Slater–Koster tight-binding approach was presented in Ref. 23. There, the three  $t_{1u}$  molecular orbitals, forming an irreducible representation of the icosahedral group  $I_h$ , were used to describe the extra electron on a C<sub>60</sub> monomer, while the cycloaddition bonds were modeled by a potential consisting of contact interactions representing the orthorhombic ( $D_{2h}$ ) symmetry of a polymer chain. In this work, we abandon the approximation of having C<sub>60</sub> monomers with icosahedral ( $I_h$ ) symmetry and use the coordinates of the distorted C<sub>60</sub> units as input for tight-binding calculations. We show that the distortion of the C<sub>60</sub> cage enhances the quadrupole moment of its charge distribution significantly, and we make a comparison between KC<sub>60</sub> and RbC<sub>60</sub>, the former exhibiting a higher degree of distortion than the latter.<sup>20</sup> Our numerical values are relevant for a quantum mechanical description of the alkali metal specific quadrupolar interactions in the AC<sub>60</sub> solids,<sup>24</sup> responsible for the different crystal structures of polymerized KC<sub>60</sub> and Rb-, CsC<sub>60</sub>.<sup>21,22</sup>

## II. STEPWISE APPROACH

In order to achieve a thorough understanding of the formation of electric quadrupoles on the C<sub>60</sub> monomers, we proceed in several steps. First, we consider isolated C<sub>60</sub> molecules (having  $I_h$  symmetry) linked together forming a chain of undeformed neutral C<sub>60</sub> monomers. Next, we charge every C<sub>60</sub> monomer with one electron. Then, we take into account the deformation of the C<sub>60</sub> units. As described in the Introduction, the experimental efforts to determine the structure of the C<sub>60</sub> cages have culminated in the neutron powder scat-

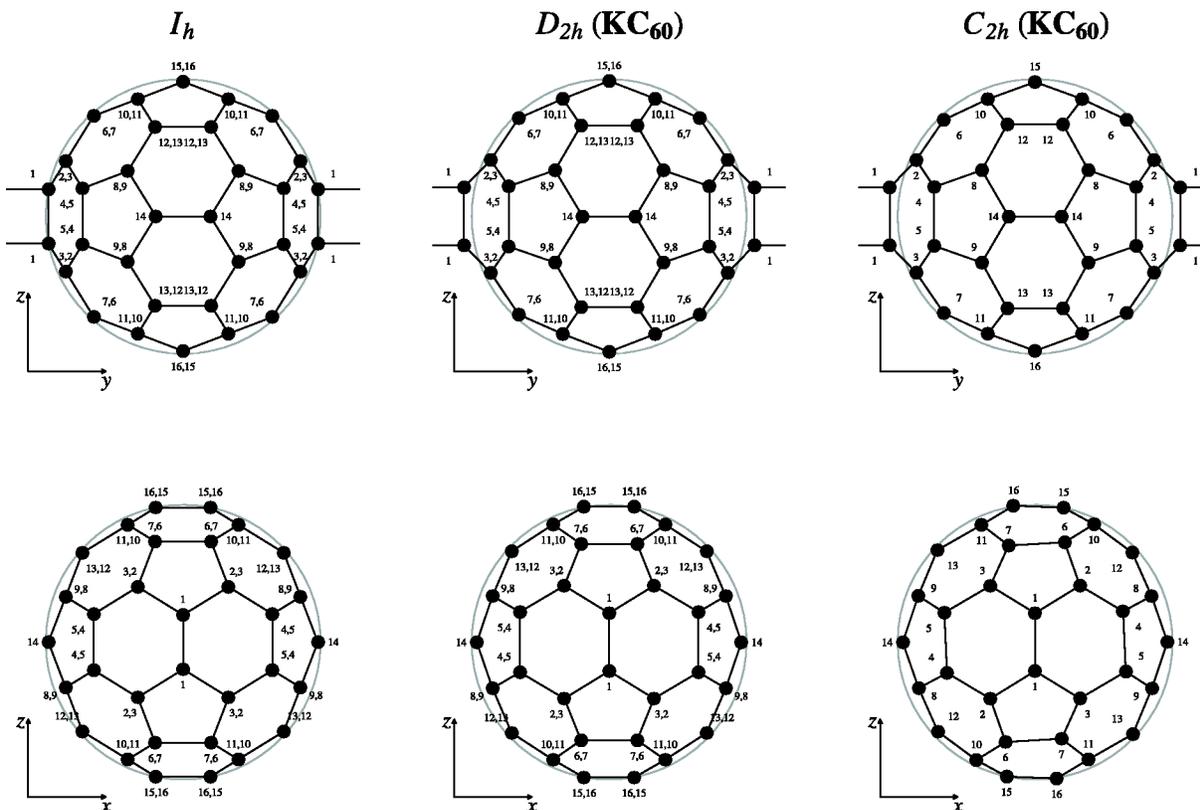


FIG. 3. The  $C_{60}$  cage, projected onto the (b,c) plane (top row) and the (a,c) plane (bottom row) for different configurations: icosahedral (left),  $D_{2h}$  (middle), and  $C_{2h}$  (right). For the icosahedral ( $I_h$ ) case, the  $C_{60}$  cage coincides with a regular truncated icosahedron. The shown  $C_{2h}$  configuration (right) is that of  $KC_{60}$  at  $T=300$  K (the coordinates have been taken from Ref. 20). Note that the cycloaddition plane is not a mirror plane. From the  $C_{2h}$  structure, we have constructed a  $D_{2h}$  unit (middle) by averaging the  $C_{2h}$  coordinates. The numeration of carbon atoms of Ref. 20 has been followed; pairwise notation indicates equivalence. In the  $C_{2h}$  projection onto the (b,c) plane (top right), one hemisphere has been omitted for clarity. In all other cases the projections of the two hemispheres coincide. The shown circle with radius  $R_{C_{60}}$  allows a visual estimation of the nonideality of the  $C_{60}$  cage in the  $C_{2h}$  and  $D_{2h}$  configurations. The elongation of the monomer along the polymerization direction (y axis) can be clearly seen.

tering study of Huq *et al.*,<sup>20</sup> reaching a very high degree of precision. There it was found that the  $C_{60}$  balls are deformed in such a way that they have  $C_{2h}$  symmetry. The symmetry not being icosahedral ( $I_h$ ) does not come as a surprise of course, but for  $KC_{60}$  one would intuitively expect orthorhombic ( $D_{2h}$ ) symmetry—the symmetry of the crystal lattice. The symmetry being  $C_{2h}$  and not  $D_{2h}$  implies that the cycloaddition plane [see Fig. 1(b)] is no longer a mirror plane; the polymerization axis (parallel to the **b** axis) remains a twofold axis though, as illustrated for  $KC_{60}$  in the right part of Fig. 3. Also, the axis perpendicular to the cycloaddition plane and running through the center of the monomer is no longer a twofold axis.

Within our view, the polymer chains not having  $D_{2h}$  symmetry is a consequence of their “settling” in a final orientational configuration [ $\psi_{KC_{60}} = \pm 50^\circ$ , see Fig. 2(a)]. Therefore, we think it useful to consider  $D_{2h}$  distorted  $C_{60}$  balls as well. For  $RbC_{60}$ , the  $C_{2h}$  symmetry is compatible with the monoclinic crystal structure [Fig. 2(b)], but since the monoclinic angle is extremely close to  $90^\circ$  [ $\alpha = 90.316^\circ$  (Ref. 20)], we also present  $D_{2h}$  symmetry calculations for  $RbC_{60}$ , as if the crystal lattice were orthorhombic (an approximation used in other theoretical works<sup>21,22,25</sup>). We have constructed the  $D_{2h}$   $C_{60}$  units by mirroring the  $C_{2h}$  structure about the cycloaddition plane, then rotating it over

$\pi$  along the axis parallel to **a** and running through the center of the monomer, and finally averaging the coordinates of the so-generated coordinates so that the originally inequivalent carbon atoms (e.g., atoms 2 and 3 in Fig. 3) end up having the desired equivalencies of atoms, yielding  $D_{2h}$  symmetry for the  $C_{60}$  cage. The middle part of Fig. 3 visualizes the  $D_{2h}$  unit for  $KC_{60}$ . Upon comparing the right ( $C_{2h}$ ) and middle ( $D_{2h}$ ) parts of Fig. 3 to the left ( $I_h$ ) part, it is clearly seen that the main distortional feature is the displacement of the carbon atoms participating in the cycloaddition bond (the atoms labeled 1), and that this is preserved when averaging the  $C_{2h}$  configuration to a  $D_{2h}$  one.

We refer to the various steps as follows: (a) uncharged, undistorted ( $I_h$ ), isolated  $C_{60}$  molecule, (b) chain of undistorted ( $I_h$ ) neutral  $C_{60}$  monomers, (c) chain of undistorted ( $I_h$ ) charged  $C_{60}^-$  monomers, (d) chain of  $D_{2h}$  distorted  $C_{60}^-$  monomers, (e) chain of  $C_{2h}$  distorted  $C_{60}^-$  monomers. The last two cases are different for K- and  $RbC_{60}$  since they involve the actual positions of the carbon atoms. We recall that according to our opinion, the  $C_{2h}$  symmetry of the  $C_{60}^-$  monomer is the result of the fixed orientations of the polymer chains in the crystal. Hence, case (d) can be considered as the end point if one studies a single polymer chain, or a hypothetical  $Immm$  phase, involving freely rotating or, alternatively, randomly oriented polymer chains.<sup>22</sup>

### III. CHARGE DISTRIBUTION, QUADRUPOLE MOMENTS, RADIAL DISTRIBUTION

As a result of the charge transfer of one electron from an alkali metal atom to a  $C_{60}$  molecule, a charge distribution  $\rho(r)$  with total charge  $Q_0 = \int d\mathbf{r}\rho(\mathbf{r}) = -e$  exists on every  $C_{60}$  molecule.

In order to be able to make a comparison between the charge distributions of the different cases described in the previous section, we consider the multipole expansion of the electrostatic potential  $\Phi(\mathbf{r})$  set up by the charge distribution  $\rho(\mathbf{r})$ ,

$$\Phi(\mathbf{r}) = \frac{1}{4\pi\epsilon_0} \left( \frac{Q_0}{r} + \frac{\mathbf{d}\cdot\mathbf{r}}{r^3} + \frac{1}{2} \sum_{i=1}^3 \sum_{j=1}^3 \frac{Q_{ij}x_i x_j}{r^5} + \dots \right). \quad (3.1)$$

Here,  $\mathbf{d} = \int d\mathbf{r}\mathbf{r}\rho(\mathbf{r})$  is the dipole moment and

$$Q_{ij} = \int d\mathbf{r}(3x_i x_j - \delta_{ij}r^2)\rho(\mathbf{r}) \quad (3.2)$$

are the quadrupole moments. The dipole moment vanishes for a charge distribution with  $C_{2h}$  (or  $D_{2h}$  or  $I_h$ ) symmetry, so that the quadrupole terms of expansion (3.1) comprise the first nontrivial contributions to  $\Phi(\mathbf{r})$ ,

$$\Phi(\mathbf{r}) = \frac{1}{4\pi\epsilon_0} \left( \frac{Q_0}{r} + \frac{1}{2} \sum_{i=1}^3 \sum_{j=1}^3 \frac{Q_{ij}x_i x_j}{r^5} + \dots \right). \quad (3.3)$$

The numerical calculation of the quadrupole moments  $Q_{ij}$  is carried out using a nonorthogonal tight-binding model with parameters taken from an *ab initio* study of carbon clusters.<sup>26</sup> The electronic eigenvalue problem is solved for 60  $\mathbf{k}$ -points of the one-dimensional Brillouin zone of the  $(C_{60}^-)_n$  chain. The wave functions are then constructed by means of the  $2s$ - and  $2p$ -type atomic orbitals of carbon and the expansion coefficients of the wave function. The obtained electron density  $\rho(\mathbf{r})$  is used to calculate the quadrupole moments  $Q_{ij}$  via Eq. (3.2) by numerical integration over a  $100 \times 100 \times 100$  points grid in a unit cell with a lateral size of  $10 \text{ \AA}$ . The number of  $\mathbf{k}$ -points and the integration grid insure accuracy of 0.01 atomic units for the quadrupole moments. The carbon atoms constituting the undistorted  $C_{60}$  cage are located at the corner points of a regular truncated icosahedron, circumscribed by a sphere of radius  $R_{C_{60}} = 3.55 \text{ \AA}$  (Ref. 27) (Fig. 3, left). For the actual  $C_{2h}$  carbon coordinates (and the therefrom derived  $D_{2h}$  coordinates) in polymerized  $KC_{60}$  (Fig. 3, right) and  $RbC_{60}$ , we use the values provided by Huq *et al.*<sup>20</sup> obtained from Rietveld fits to measurements at  $T = 300 \text{ K}$  and  $T = 200 \text{ K}$  for  $KC_{60}$  and  $RbC_{60}$ , respectively.

To establish the link with the previous theoretical work<sup>23</sup> where a factorization of the charge distribution into a radial and an angular part (originating from a same factorization of the electronic wave function) was assumed,

$$\rho(\mathbf{r}) = \rho(r, \theta, \phi) = -e\mathcal{R}(r)\mathcal{A}(\theta, \phi), \quad (3.4)$$

we consider the expansion of  $\rho(\mathbf{r})$  in spherical harmonics,

$$\rho(\mathbf{r}) = \sum_l \sum_\tau \rho_l^\tau(r) Y_l^\tau(\theta, \phi). \quad (3.5)$$

We use the real spherical harmonics of Ref. 28. Replacing  $\mathcal{A}(\theta, \phi)$  in Eq. (3.4) by its expansion in spherical harmonics,

$$\mathcal{A}(\theta, \phi) = \sum_l \sum_\tau c_l^\tau Y_l^\tau(\theta, \phi), \quad (3.6)$$

and then comparing Eqs. (3.4) and (3.5), one gets

$$\rho_l^\tau(r) = -e c_l^\tau \mathcal{R}(r). \quad (3.7)$$

Using the definition of  $Q_{ij}$ , Eq. (3.2), and using the decomposition of  $\rho(\mathbf{r})$  into spherical harmonics, Eqs. (3.5) and (3.7), one can derive the following relations between the Cartesian quadrupole moments  $Q_{ij}$  and the spherical quadrupole moments  $c_{l=2}^\tau$ ,

$$c_2^0 = \frac{1}{e\tilde{g}} \sqrt{\frac{5}{16\pi}} (Q_{11} + Q_{33}), \quad (3.8a)$$

$$c_2^{1c} = \frac{1}{e\tilde{g}} \sqrt{\frac{5}{12\pi}} Q_{12}, \quad (3.8b)$$

$$c_2^{1s} = \frac{1}{e\tilde{g}} \sqrt{\frac{5}{12\pi}} Q_{23}, \quad (3.8c)$$

$$c_2^{2c} = -\frac{1}{e\tilde{g}} \sqrt{\frac{5}{48\pi}} (Q_{11} - Q_{33}), \quad (3.8d)$$

$$c_2^{2s} = -\frac{1}{e\tilde{g}} \sqrt{\frac{5}{12\pi}} Q_{13}. \quad (3.8e)$$

For a  $D_{2h}$  or  $I_h$  charge distribution, the second order moments  $c_2^{1c}$ ,  $c_2^{1s}$ , and  $c_2^{2s}$  are zero, because  $D_{2h}$  and  $I_h$  symmetries imply diagonality of the quadrupole tensor  $[Q]$ . Full details of the derivation are given in Appendix A. The parameter  $\tilde{g}$  is related to the precise expression for the radial part  $\mathcal{R}(r)$ :

$$\tilde{g} = \int dr r^4 \mathcal{R}(r). \quad (3.9)$$

The radial part can be approximated with the simple expression

$$\mathcal{R}(r) = \frac{\delta(r-R)}{R^2}, \quad (3.10)$$

corresponding to a distribution of the charge on a spherical shell of radius  $R$ , and yielding a factor  $\tilde{g} = R^2$ . In Table I, the results of the calculations are presented for the various cases introduced in the preceding section; the conversion from Cartesian to spherical quadrupole moments has been done using radial part (3.10) with  $R = R_{C_{60}}$ .

As mentioned earlier, the conversion from Cartesian to spherical quadrupolar moments is introduced here for the purpose of comparison with the results of the earlier work.<sup>23</sup> However, it also allows better comparison of the ‘‘overall’’ magnitude of the electrical quadrupole of the cases under consideration in this work, since it is difficult to compare the quadrupole tensors  $[Q]$  directly because of their tracelessness,

$$Q_{11} + Q_{22} + Q_{33} = 0, \quad (3.11)$$

TABLE I. Cartesian quadrupole moments  $Q_{ij}$ ,  $i, j=1,2,3$ , and spherical quadrupole moments  $c_2^0$ ,  $c_2^{1,c}$ ,  $c_2^{1,s}$ ,  $c_2^{2,c}$ , and  $c_2^{2,s}$  for the various  $C_{60}$  units described in Sec. II [cases (a)–(e)]. The spherical moments (dimensionless) are derived from the Cartesian moments (in atomic units  $ea_0^2=4.4866\times 10^{-40}$  C m<sup>2</sup>,  $-e$  being the electron charge and  $a_0$  the Bohr radius) via Eqs. (3.8a)–(3.8e), with  $\tilde{g}=R^2$  and  $R=R_{C_{60}}$ . The quadrupole tensor [Eq. (3.2)] is symmetric. Column (f) lists the spherical quadrupole moments of Ref. 23 and therefrom [via the inverse transformation of Eqs. (3.8a)–(3.8e)] calculated Cartesian quadrupole moments. Although cases (c) and (f) represent the same physical situation, different numerical values arise due to different tight-binding approaches.

	(a) Uncharged undistorted isolated	(b) Uncharged undistorted chain	(c) Charged undistorted chain	(d) Charged $D_{2h}$ distorted chain		(e) Charged $C_{2h}$ distorted chain		(f) Charged undistorted chain
				KC <sub>60</sub>	RbC <sub>60</sub>	KC <sub>60</sub>	RbC <sub>60</sub>	
$Q_{11}$	0	2.83	−4.58	−54.39	−63.25	−55.15	−59.30	3.46
$Q_{22}$	0	0.03	17.97	66.15	77.66	65.85	80.45	3.47
$Q_{33}$	0	−2.86	−13.40	−11.76	−14.41	−10.70	−21.15	−6.92
$Q_{12}$	0	0	0	0	0	0	0	0
$Q_{13}$	0	0	0	0	0	−16.36	−7.07	0
$Q_{23}$	0	0	0	0	0	0	0	0
$c_2^0$	0	0.000	−0.1259	−0.4636	−0.5442	−0.4615	−0.5638	−0.0243
$c_2^{1,c}$	0	0	0	0	0	0	0	0
$c_2^{1,s}$	0	0	0	0	0	0	0	0
$c_2^{2,c}$	0	−0.023	−0.0357	0.1725	0.1976	0.1798	0.1544	−0.0420
$c_2^{2,s}$	0	0	0	0	0	0.1324	0.0572	0

which makes the six moments  $Q_{ij}$  presented in Table I a linearly dependent set, whereas the set of five spherical quadrupole moments  $c_2^0$ ,  $c_2^{1,c}$ ,  $c_2^{1,s}$ ,  $c_2^{2,s}$ , and  $c_2^{2,s}$  are linearly independent. Nevertheless, one must keep in mind that the validity of the conversion from  $Q_{ij}$  to  $c_{l=2}^{\tau}$  via Eqs. (3.8a)–(3.8e) relies entirely on the factorization assumption (3.4). Indeed, for  $C_{60}^-$  monomers lacking  $I_h$  symmetry, e.g., cases (d) and (e), ansatz (3.4) does not hold, and, as a consequence, the radial dependence of the coefficients  $\rho_l^{\tau}$  will not a priori be simply a “universal” function  $-e\mathcal{R}(r)$  times an  $(l, \tau)$  dependent constant  $c_l^{\tau}$  [Eq. (3.7)]. However, the spherical quadrupole moments do make sense as a first (spherical) approximation for a  $C_{60}^-$  unit. Indeed, in theoretical studies it is usually understood that such a factorization is valid if not reasonably approximate. Once assumption (3.4) is made, the radial part  $\mathcal{R}(r)$  often turns out to be irrelevant, e.g., for properties related to the orientation of the  $C_{60}$  units.<sup>25</sup> Since we have the full charge distribution  $\rho(\mathbf{r})$  at our disposal, we can test factorization ansatz (3.4) by numerically deriving the radial distribution  $\mathcal{R}(r)$  from our values of  $\rho(\mathbf{r})$ . It is clear that this is basically nothing more than performing an average of  $\rho(\mathbf{r})$  over the angular coordinates. The recipe is outlined in Appendix B. Figure 4 shows the results of our calculations of  $\mathcal{R}(r_k)$  for values of  $r_k$  ranging from 0 to 4.5 Å in steps of approximately 0.045 Å, for the “charged” cases (c), (d), and (e), the latter two for both K- and RbC<sub>60</sub>.

#### IV. DISCUSSION AND CONCLUSIONS

We now turn to a discussion of the results. As explained above, we prefer to focus on the spherical quadrupole components for making comparative statements. A first major conclusion that can be drawn from Table I is that upon introducing the linkage between neighboring  $C_{60}$  molecules, nonzero quadrupole moments appear, even for neutral  $C_{60}$  monomers [case (b)]. Upon charging the  $C_{60}$  units [case (c)], the magnitude of the quadrupole components increases. A

further increase is observed when one compares any of the distorted cases [(d) and (e)] with the undistorted case (c). Therefore, as a second major conclusion, we can state that both charging and distorting the  $C_{60}$  monomers leads to a considerable increase of the quadrupole moments. This conclusion is particularly relevant for the mechanism of Refs. 21, 22, and 24 explaining the different crystal structures of K- and Rb-, CsC<sub>60</sub>, where the quadrupolar polarizability of the alkali ions—induced by the electric quadrupoles on the  $C_{60}^-$  monomers—plays a key role. Comparing the results of KC<sub>60</sub> and RbC<sub>60</sub>, we observe that, although the  $C_{60}$  unit is more distorted in KC<sub>60</sub> than in RbC<sub>60</sub>,<sup>20</sup> the values of the quadrupole moments lie close to each other (excepting  $c_2^{2,s}$ , which is roughly a factor of 2 larger for K- than for RbC<sub>60</sub>).

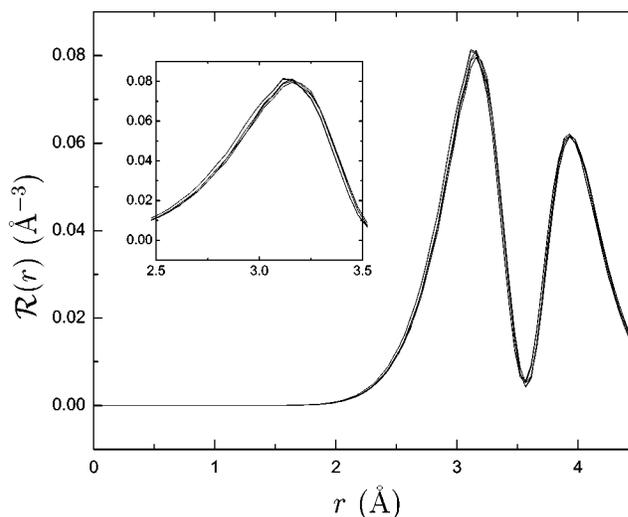


FIG. 4. Radial part  $\mathcal{R}(r)$  of the valence charge density  $\rho(\mathbf{r})=\rho(r, \theta, \phi)$  of a  $C_{60}^-$  monomer, obtained by averaging over  $\theta$  and  $\phi$ . All five charged cases are presented ( $I_h$ ,  $D_{2h}$ -KC<sub>60</sub>,  $D_{2h}$ -RbC<sub>60</sub>,  $C_{2h}$ -KC<sub>60</sub>, and  $C_{2h}$ -RbC<sub>60</sub>); the five curves nearly coincide (inset). The normalization condition reads  $4\pi\int_0^\infty r^2 dr \mathcal{R}(r) = 1$  (see Appendix B).

Also, apart from the appearance of  $c_2^{2,s}$ , there is no substantial difference between the  $D_{2h}$  and  $C_{2h}$  situations (making the  $D_{2h}$   $C_{60}$  cage a justified approximation).

As for our calculations of the radial distributions (Fig. 4), it can be clearly seen that the five  $\mathcal{R}(r)$  curves lie extremely close to each other. The important conclusion one can draw from this is that not only the factorization ansatz must hold fairly well, but also that the radial part  $\mathcal{R}(r)$  hardly changes upon distorting the  $C_{60}^-$  monomers (reducing the symmetry from  $I_h$  to  $D_{2h}$  or even  $C_{2h}$ ). The two-peak shape of  $\mathcal{R}(r)$  with a minimum at  $R_{C_{60}} = 3.55 \text{ \AA}$  is reminiscent of one of the radial models presented in Ref. 29 (model III, dotted line in Fig. 4 therein), which is thus by the present calculations proven to be an applicable approximation.

In summary, our main conclusions concerning the electric quadrupole moments of a  $(C_{60}^-)_n$  polymer chain are that (i) linking the  $C_{60}$  molecules into a chain, (ii) charging, and (iii) deforming them so that they have  $D_{2h}$  (or  $C_{2h}$ ) symmetry all increase the quadrupole moments of their valence charge density. Finally, we also have shown that factorizing the valence charge density of a  $C_{60}^-$  monomer in a  $(C_{60}^-)_n$  polymer chain into an angular and a radial part makes a valid approximation.

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## APPENDIX A: FROM CARTESIAN TO SPHERICAL QUADRUPOLE MOMENTS

The Cartesian coordinate system  $(x,y,z)$  we use is that of Ref. 20, i.e.,  $x \leftrightarrow \mathbf{a}$ ,  $y \leftrightarrow \mathbf{b}$ ,  $z \leftrightarrow \mathbf{c}$ , with  $\mathbf{b}$  the direction of polymerization, and the plane of cycloaddition coinciding with the  $(\mathbf{b},\mathbf{c})$  plane [standard orientation, Fig. 1(b)]. The spherical coordinate system  $(r',\theta',\phi')$  employed is that of Ref. 23 however, so that care must be taken when formulating the proper coordinate transformation. It reads

$$x = x' = r' \sin \theta' \cos \phi', \quad (\text{A1a})$$

$$z = y' = r' \sin \theta' \sin \phi', \quad (\text{A1b})$$

$$-y = z' = r' \cos \theta', \quad (\text{A1c})$$

where the auxiliary Cartesian coordinates  $(x',y',z')$  display the usual relation to  $(r',\theta',\phi')$ . For notational simplicity, we drop the primes of  $(r',\theta',\phi')$  and write

$$\frac{x}{r} = \sin \theta \cos \phi, \quad (\text{A2a})$$

$$\frac{z}{r} = \sin \theta \sin \phi, \quad (\text{A2b})$$

$$-\frac{y}{r} = \cos \theta. \quad (\text{A2c})$$

The real spherical harmonics  $Y_{l=2}^{\tau} \equiv Y_{l=2}^{\tau}(\theta,\phi)$  of Ref. 28 can then be expressed as functions of the Cartesian coordinates,

$$Y_2^{0,c} = \sqrt{\frac{5}{16\pi}} (3 \cos^2 \theta - 1) = \sqrt{\frac{5}{16\pi}} \frac{3y^2 - r^2}{r^2}, \quad (\text{A3a})$$

$$Y_2^{1,c} = \sqrt{\frac{15}{4\pi}} \sin \theta \cos \theta \cos \phi = -\sqrt{\frac{15}{4\pi}} \frac{xy}{r^2}, \quad (\text{A3b})$$

$$Y_2^{1,s} = \sqrt{\frac{15}{4\pi}} \sin \theta \cos \theta \sin \phi = -\sqrt{\frac{15}{4\pi}} \frac{yz}{r^2}, \quad (\text{A3c})$$

$$Y_2^{2,c} = \sqrt{\frac{15}{16\pi}} \sin^2 \theta (\cos^2 \phi - \sin^2 \phi) = \sqrt{\frac{15}{16\pi}} \frac{x^2 - z^2}{r^2}, \quad (\text{A3d})$$

$$Y_2^{2,s} = 2 \sqrt{\frac{15}{16\pi}} \sin^2 \theta \sin \phi \cos \phi = 2 \sqrt{\frac{15}{16\pi}} \frac{xz}{r^2}. \quad (\text{A3e})$$

Next, the quantities  $\tilde{Q}_{ij} \equiv \tilde{Q}_{ij}(\mathbf{r}) = 3x_i x_j - \delta_{ij} r^2$  appearing in the expression for the Cartesian quadrupole moments  $Q_{ij}$  [Eq. (3.2)] can be written in terms of the functions (A3a)–(A3e),

$$\tilde{Q}_{11} = 3x^2 - r^2 = \sqrt{\frac{16\pi}{5}} \left( -\frac{1}{2} Y_2^{0,c} + \frac{\sqrt{3}}{2} Y_2^{2,c} \right) r^2, \quad (\text{A4a})$$

$$\tilde{Q}_{22} = 3y^2 - r^2 = \sqrt{\frac{16\pi}{5}} Y_2^{0,c} r^2, \quad (\text{A4b})$$

$$\tilde{Q}_{33} = 3z^2 - r^2 = \sqrt{\frac{16\pi}{5}} \left( -\frac{1}{2} Y_2^{0,c} - \frac{\sqrt{3}}{2} Y_2^{2,c} \right) r^2, \quad (\text{A4c})$$

$$\tilde{Q}_{12} = 3xy = -3 \sqrt{\frac{4\pi}{15}} Y_2^{1,c} r^2, \quad (\text{A4d})$$

$$\tilde{Q}_{13} = 3xz = \frac{3}{2} \sqrt{\frac{16\pi}{15}} Y_2^{2,s} r^2, \quad (\text{A4e})$$

$$\tilde{Q}_{23} = 3yz = -3 \sqrt{\frac{4\pi}{15}} Y_2^{1,s} r^2. \quad (\text{A4f})$$

Finally, by performing the integration of  $\tilde{Q}_{ij}(\mathbf{r})\rho(\mathbf{r})$  over all space in spherical coordinates,

$$Q_{ij} = \int_0^\infty r^2 dr \int_0^\pi \sin \theta d\theta \int_0^{2\pi} d\phi \tilde{Q}_{ij}(r,\theta,\phi) \rho(r,\theta,\phi), \quad (\text{A5a})$$

using

$$\rho(r,\theta,\phi) = -e\mathcal{R}(r) \sum_{l'} \sum_{\tau'} c_{l'}^{\tau'} Y_{l'}^{\tau'}(\theta,\phi) \quad (\text{A5b})$$

and the orthogonality relation

$$\int_0^\pi \sin \theta d\theta \int_0^{2\pi} d\phi Y_{l=2}^{\tau} Y_{l'=2}^{\tau'} = \delta_{l=2,l'} \delta_{\tau,\tau'}, \quad (\text{A5c})$$

one gets the following linear system of equations relating  $Q_{ij}$  to  $c_{l=2}^{\tau}$ :

$$Q_{11} = -e\bar{g} \sqrt{\frac{16\pi}{5}} \left( -\frac{1}{2}c_2^{0,c} + \frac{\sqrt{3}}{2}c_2^{2,c} \right), \quad (\text{A6a})$$

$$Q_{22} = -e\bar{g} \sqrt{\frac{16\pi}{5}} c_2^{0,c}, \quad (\text{A6b})$$

$$Q_{33} = -e\bar{g} \sqrt{\frac{16\pi}{5}} \left( -\frac{1}{2}c_2^{0,c} - \frac{\sqrt{3}}{2}c_2^{2,c} \right), \quad (\text{A6c})$$

$$Q_{12} = 3e\bar{g} \sqrt{\frac{4\pi}{15}} c_2^{1,c}, \quad (\text{A6d})$$

$$Q_{13} = -\frac{3}{2}e\bar{g} \sqrt{\frac{16\pi}{15}} c_2^{2,s}, \quad (\text{A6e})$$

$$Q_{23} = 3e\bar{g} \sqrt{\frac{4\pi}{15}} c_2^{1,s}, \quad (\text{A6f})$$

where  $\bar{g} = \int_0^\infty dr r^4 \mathcal{R}^2(r)$ . Solving systems (A6a)–(A6f) for  $\{c_2^\tau\}$  leads to Eqs. (3.8a)–(3.8e). Note that expressions (A6a)–(A6c) are consistent with the tracelessness of  $[Q]$ , which is why the quadrupole tensor is completely described by the five (not six) independent quantities  $c_2^{0,c}$ ,  $c_2^{1,c}$ ,  $c_2^{1,s}$ ,  $c_2^{2,c}$ , and  $c_2^{2,s}$ .

## APPENDIX B: ANGULAR AVERAGING

We divide the  $r$ -axis into intervals  $[0, \Delta[, [\Delta, 2\Delta[, [2\Delta, 3\Delta[, \dots, [(k-1)\Delta, k\Delta[, \dots$  and denote the middle of the  $k$ th interval by  $r_k$  ( $k=1, 2, 3, \dots$ ). For every interval we define the quantity  $z_k$ ,

$$z_k = \int_{(k-1)\Delta}^{k\Delta} r^2 dr \int_0^\pi \sin \theta d\theta \int_0^{2\pi} d\phi \rho(\mathbf{r}). \quad (\text{B1})$$

If factorization is assumed,  $z_k$  can be rewritten as

$$z_k = -e t_k A, \quad (\text{B2})$$

with

$$t_k = 4\pi \int_{(k-1)\Delta}^{k\Delta} r^2 dr \mathcal{R}(r), \quad (\text{B3a})$$

$$A = \frac{1}{4\pi} \int_0^\pi \sin \theta d\theta \int_0^{2\pi} d\phi \mathcal{A}(\theta, \phi). \quad (\text{B3b})$$

For sufficiently small intervals,  $t_k$  can be approximated by

$$t_k \approx 4\pi \Delta r_k^2 \mathcal{R}(r_k). \quad (\text{B4})$$

From Eqs. (B2) and (B4) we get

$$\mathcal{R}(r_k) \approx \frac{z_k}{-4\pi e A r_k^2 \Delta}. \quad (\text{B5})$$

Within the factorization assumption, it is natural to impose the normalization condition

$$4\pi \int_0^\infty r^2 dr \mathcal{R}(r) = 1, \quad (\text{B6})$$

which implies  $A = 1$  since  $\int d\mathbf{r} \rho(\mathbf{r}) = -e$ , so that

$$\mathcal{R}(r_k) \approx \frac{z_k}{-4\pi e r_k^2 \Delta}. \quad (\text{B7})$$

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